

41

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **HIROYAMA, Ryouji et al.**

Group Art Unit: **2815**

Serial No.: **09/669,448**

Examiner: **Matthew C. Landau**

Filed: **September 25, 2000**

P.T.O. Confirmation No.: **2968**

For. **SEMICONDUCTOR LASER DEVICE AND METHOD OF MANUFACTURING  
THE SAME**

**INFORMATION DISCLOSURE STATEMENT AND  
STATEMENT PURSUANT TO 37 CFR 1.97(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

November 7, 2003

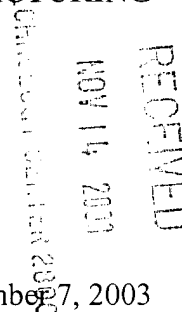
Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached. A copy of the First Office Action issued by The Patent Office of The State Intellectual Property Office Of The Peoples's Republic Of China dated August 8, 2003 is also attached.

This Information Disclosure Statement is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry in the national stage for the above-captioned application, but prior to issuance of either a final official action, a Notice of Allowance, or an action that otherwise closes prosecution in the application.

The undersigned hereby certifies

**XX** that each item of information contained in this statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

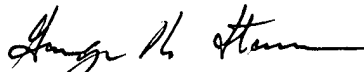


The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

The Commissioner is authorized to charge Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP



George N. Stevens  
Attorney for Applicant  
Reg. No. 36,938

GNS/xl  
Atty. Docket No. **001241**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



23850

PATENT TRADEMARK OFFICE

Enclosures: Chinese Office Action and References (3)

**INFORMATION  
DISCLOSURE  
CITATION**  
PTO-1449

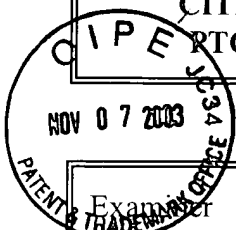
Atty. Docket No. 001241

Serial No. 09/669,448

Applicant(s): HIROYAMA, Ryouji et al.

Filing Date: September 25, 2000

Group Art Unit: 2815



**U.S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Name	Date	Class	Subclass	Filing Date (If appropriate)
_____	AA	5,960,019	Hayahsi et al.	09/28/99	372	46	
_____	AB	5,383,215	Narui et al.	01/17/95	372	46	
_____	AC						
_____	AD						
_____	AE						

**FOREIGN PATENT DOCUMENTS**

		Document No.	Date	Country	Translation (Yes or No)
_____	AF	CN 1169047 A	12/31/97	China	No
_____	AG				
_____	AH				
_____	AI				
_____	AJ				

RECEIVED  
NOV 14 2003  
TECHNICAL CENTER 2800

**OTHER DOCUMENTS**

_____	AK	First Office Action with the English Translation issued by The Patent Office of The State Intellectual Property Office Of The Peoples's Republic Of China dated August 8, 2003
_____	AL	
Examiner		Date Considered